

## XII

### WHY I AM NOT A BAYESIAN\*

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The aim of confirmation theory is to provide a true account of the principles that guide scientific argument in so far as that argument is not, and does not purport to be, of a deductive kind. A confirmation theory should serve as a critical and explanatory instrument quite as much as do theories of deductive inference. Any successful confirmation theory should, for example, reveal the structure and fallacies, if any, in Newton's argument for universal gravitation, in nineteenth-century arguments for and against the atomic theory, in Freud's arguments for psychoanalytic generalizations. Where scientific judgements are widely shared, and sociological factors cannot explain their ubiquity, and analysis through the lens provided by confirmation theory reveals no good explicit arguments for the judgements, confirmation theory ought at least sometimes to suggest some good arguments that may have been lurking misperceived. Theories of deductive inference do that much for scientific reasoning in so far as that reasoning is supposed to be demonstrative. We can apply quantification theory to assess the validity of scientific arguments, and although we must almost always treat such arguments as enthymematic, the premisses we interpolate are not arbitrary; in many cases, as when the same subject-matter is under discussion, there is a common set of suppressed premisses. Again, there may be differences about the correct logical form of scientific claims; differences of this kind result in (or from) different formalizations, for example, of classical mechanics. But such differences often make no difference for the assessment of validity in actual arguments. Confirmation theory should do as well in its own domain. If it fails, then it may still be of interest for many purposes, but not for the purpose of understanding scientific reasoning.

The aim of confirmation theory ought not to be simply to provide precise replacements for informal methodological notions, that is, expli-

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\* Who cares whether a pig-farmer is a Bayesian?—R. C. Jeffrey.

cations of them. It ought to do more; in particular, confirmation theory ought to *explain* both methodological truisms and particular judgements that have occurred within the history of science. By 'explain' I mean at least that confirmation theory ought to provide a rationale for methodological truisms, and ought to reveal some systematic connections among them and, further, ought, without arbitrary or question-begging assumptions, to reveal particular historical judgements as in conformity with its principles.

Almost everyone interested in confirmation theory today believes that confirmation relations ought to be analysed in terms of *probability* relations. Confirmation theory is the theory of probability plus introductions and appendices. Moreover, almost everyone believes that confirmation proceeds through the formation of conditional probabilities of hypotheses on evidence. The basic tasks facing confirmation theory are thus just those of explicating and showing how to determine the probabilities that confirmation involves, developing explications of such meta-scientific notions as 'confirmation', 'explanatory power', 'simplicity', and so on in terms of functions of probabilities and conditional probabilities, and showing that the canons and patterns of scientific inference result. It was not always so. Probabilistic accounts of confirmation really became dominant only after the publication of Carnap's *Logical Foundations of Probability* (1950), although of course many probabilistic accounts had preceded Carnap's. An eminent contemporary philosopher (Putnam 1967) has compared Carnap's achievement in inductive logic with Frege's in deductive logic: just as before Frege there was only a small and theoretically uninteresting collection of principles of deductive inference, but after him the foundation of a systematic and profound theory of demonstrative reasoning, so with Carnap and inductive reasoning. After Carnap's *Logical Foundations*, debates over confirmation theory seem to have focused chiefly on the interpretation of probability and on the appropriate probabilistic explications of various meta-scientific notions. The meta-scientific notions remain controversial, as does the interpretation of probability, although, increasingly, logical interpretations of probability are giving way to the doctrine that probability is degree of belief.<sup>1</sup> In very recent years a few philosophers have attempted to apply probabilistic analyses to derive and to explain particular methodological practices and precepts, and even to elucidate some historical cases.

I believe these efforts, ingenious and admirable as many of them are, are none the less misguided. For one thing, probabilistic analyses remain at too

<sup>1</sup> A third view, that probabilities are to be understood exclusively as frequencies, has been most ably defended by Wesley Salmon (1969).

great a distance from the history of scientific practice to be really informative about that practice, and in part they do so exactly because they are probabilistic. Although considerations of probability have played an important part in the history of science, until very recently, explicit probabilistic arguments for the confirmation of various theories, or probabilistic analyses of data, have been great rarities in the history of science. In the physical sciences at any rate, probabilistic arguments have rarely occurred. Copernicus, Newton, Kepler, none of them give probabilistic arguments for their theories; nor does Maxwell or Kelvin or Lavoisier or Dalton or Einstein or Schrödinger or. . . There are exceptions. Jon Dorling has discussed a seventeenth-century Ptolemaic astronomer who apparently made an extended comparison of Ptolemaic and Copernican theories in probabilistic terms; Laplace, of course, gave Bayesian arguments for astronomical theories. And there are people—Maxwell, for example—who scarcely give a probabilistic argument when making a case for or against scientific hypotheses but who discuss *methodology* in probabilistic terms. This is not to deny that there are many areas of contemporary physical science where probability figures large in confirmation; regression analysis is not uncommon in discussions of the origins of cosmic rays, correlation and analysis of variance in experimental searches for gravitational waves, and so on. It is to say that, explicitly, probability is a distinctly minor note in the history of scientific argument.

The rarity of probability considerations in the history of science is more an embarrassment for some accounts of probability than for others. Logical theories, whether Carnap's or those developed by Hintikka and his students, seem to lie at a great distance from the history of science. Still, some of the people working in this tradition have made interesting steps towards accounting for methodological truisms. My own inclination is to believe that the interest such investigations have stems more from the insights they obtain into syntactic versions of structural connections among evidence and hypotheses than to the probability measures they mesh with these insights. Frequency interpretations suppose that for each hypothesis to be assessed there is an appropriate reference class of hypotheses to which to assign it, and the prior probability of the hypothesis is the frequency of true hypotheses in this reference class. The same is true for statements of evidence, whether they be singular or general. The matter of how such reference classes are to be determined, and determined so that the frequencies involved do not come out to be zero, is a question that has only been touched upon by frequentist writers. More to the point, for many of the suggested features that might determine reference classes, we have no statistics, and cannot plausibly imagine those who figure in the

history of our sciences to have had them. So conceived, the history of scientific argument must turn out to be largely a history of fanciful guesses. Further, some of the properties that seem natural candidates for determining reference classes for hypotheses—simplicity, for example—seem likely to give perverse results. We prefer hypotheses that posit simple relations among observed quantities, and so on a frequentist view should give them high prior probabilities. Yet simple hypotheses, although often very useful approximations, have most often turned out to be literally false.

At present, perhaps the most philosophically influential view of probability understands it to be degree of belief. The subjectivist Bayesian (hereafter, for brevity, simply Bayesian) view of probability has a growing number of advocates who understand it to provide a general framework for understanding scientific reasoning. They are singularly unembarrassed by the rarity of explicit probabilistic arguments in the history of science, for scientific reasoning need not be explicitly probabilistic in order to be probabilistic in the Bayesian sense. Indeed, a number of Bayesians have discussed historical cases within their framework. Because of its influence and its apparent applicability, in what follows it is to the subjective Bayesian account that I shall give my full attention.

My thesis is several-fold. First, there are a number of attempts to demonstrate a priori the rationality of the restrictions on belief and inference that Bayesians advocate. These arguments are altogether admirable, but ought, I shall maintain, to be unconvincing. My thesis in this instance is not a new one, and I think many Bayesians do regard these a priori arguments as insufficient. Second, there are a variety of methodological notions that an account of confirmation ought to explicate and methodological truisms involving these notions that a confirmation theory ought to explain: for example, variety of evidence and why we desire it, *ad hoc* hypotheses and why we eschew them, what separates a hypothesis integral to a theory from one 'tacked on' to the theory, simplicity and why it is so often admired, why 'de-Occamized' theories are so often disdained, what determines when a piece of evidence is relevant to a hypothesis, and what, if anything, makes the confirmation of one bit of theory by one bit of evidence stronger than the confirmation of another bit of theory (or possibly the same bit) by another (or possibly the same) bit of evidence. Although there are plausible Bayesian explications of some of these notions, there are not plausible Bayesian explications of others. Bayesian accounts of methodological truisms and of particular historical cases are of one of two kinds: either they depend on general principles restricting prior probabilities, or they don't. My claim is that many of the principles pro-

posed by the first kind of Bayesian are either implausible or incoherent, and that, for want of such principles, the explanations the second kind of Bayesians provide for particular historical cases and for truisms of method are chimeras. Finally, I claim that there are elementary but perfectly common features of the relation of theory and evidence that the Bayesian scheme cannot capture at all without serious—and perhaps not very plausible—revision.

It is not that I think the Bayesian scheme or related probabilistic accounts capture nothing. On the contrary, they are clearly pertinent where the reasoning involved is explicitly statistical. Further, the accounts developed by Carnap, his predecessors, and his successors are impressive systematizations and generalizations, in a probabilistic framework, of certain principles of ordinary reasoning. But so far as understanding scientific reasoning goes, I think it is very wrong to consider our situation to be analogous to that of post-Fregean logicians, our subject-matter transformed from a hotchpotch of principles by a powerful theory whose outlines are clear. We flatter ourselves that we possess even the hotchpotch. My opinions are outlandish, I know; few of the arguments I shall present in their favour are new, and perhaps none of them is decisive. Even so, they seem sufficient to warrant taking seriously entirely different approaches to the analysis of scientific reasoning.

The theories I shall consider share the following framework, more or less. There is a class of sentences that express all hypotheses and all actual or possible evidence of interest; the class is closed under Boolean operations. For each ideally rational agent, there is a function defined on all sentences such that, under the relation of logical equivalence, the function is a probability measure on the collection of equivalence classes. The probability of any proposition represents the agent's degree of belief in that proposition. As new evidence accumulates, the probability of a proposition changes according to Bayes's rule: the posterior probability of a hypothesis on the new evidence is equal to the prior conditional probability of the hypothesis on the evidence. This is a scheme shared by diverse accounts of confirmation. I call such theories 'Bayesian', or sometimes 'personalist'.

We certainly have *grades* of belief. Some claims I more or less believe, some I find plausible and tend to believe, others I am agnostic about, some I find implausible and far-fetched, still others I regard as positively absurd. I think everyone admits some such gradations, although descriptions of them might be finer or cruder. The personalist school of probability theorists claim that we also have *degrees* of belief, degrees that can have any value between 0 and 1 and that ought, if we are rational, to be represent-

able by a probability function. Presumably, the degrees of belief are to co-vary with everyday gradations of belief, so that one regards a proposition as preposterous and absurd just if his degree of belief in it is somewhere near zero, and he is agnostic just if his degree of belief is somewhere near a half, and so on. According to personalists, then, an ideally rational agent always has his degrees of belief distributed so as to satisfy the axioms of probability, and when he comes to accept a new belief, he also forms new *degrees* of belief by conditionalizing on the newly accepted belief. There are any number of refinements, of course; but that is the basic view.

Why should we think that we really do have *degrees* of belief? Personalists have an ingenious answer: people have them because we can measure the degrees of belief that people have. Assume that no one (rational) will accept a wager on which he expects a loss, but anyone (rational) will accept any wager on which he expects a gain. Then we can measure a person's degree of belief in proposition  $P$  by finding, for fixed amount  $v$ , the highest amount  $u$  such that the person will pay  $u$  in order to receive  $u + v$  if  $P$  is true, but receive nothing if  $P$  is not true. If  $u$  is the greatest amount the agent is willing to pay for the wager, his expected gain on paying  $u$  must be zero. The agent's gain if  $P$  is the case is  $v$ ; his gain if  $P$  is not the case is  $-u$ . Thus

$$v \cdot \text{prob}(P) + (-u) \cdot \text{prob}(\sim P) = 0.$$

Since  $\text{prob}(\sim P) = 1 - \text{prob}(P)$ , we have

$$\text{prob}(P) = u/(u + v).$$

The reasoning is clear: any sensible person will act so as to maximize his expected gain; thus, presented with a decision whether or not to purchase a bet, he will make the purchase just if his expected gain is greater than zero. So the betting odds he will accept determine his degree of belief.<sup>2</sup>

I think that this device really does provide evidence that we have, or can produce, degrees of belief, in at least some propositions, but at the same time it is evident that betting odds are not an unobjectionable device for the measurement of degrees of belief. Betting odds could fail to measure degrees of belief for a variety of reasons: the subject may not believe that

<sup>2</sup> More detailed accounts of means for determining degrees of belief may be found in Jeffrey 1965. It is a curious fact that the procedures that Bayesians use for determining subjective degrees of belief empirically are an instance of the general strategy described in Glymour 1981, ch. 5. Indeed, the strategy typically used to determine whether or not actual people behave as rational Bayesians involves the bootstrap strategy described in that chapter.

the bet will be paid off if he wins, or he may doubt that it is clear what constitutes winning, even though it is clear what constitutes losing. Things he values other than monetary gain (or whatever) may enter into his determination of the expected utility of purchasing the bet: for example, he may place either a positive or a negative value on risk itself. And the very fact that he is offered a wager on  $P$  may somehow change his degree of belief in  $P$ .

Let us suppose, then, that we do have degrees of belief in at least some propositions, and that in some cases they can be at least approximately measured on an interval from 0 to 1. There are two questions: why should we think that, for rationality, one's degrees of belief must satisfy the axioms of probability, and why should we think that, again for rationality, changes in degrees of belief ought to proceed by conditionalization? One question at a time. In using betting quotients to measure degrees of belief, it was assumed that the subject would act so as to maximize *expected* gain. The betting quotient determined the degree of belief by determining the coefficient by which the gain is multiplied in case that  $P$  is true in the expression for the expected gain. So the betting quotient determines a degree of belief, as it were, in the *role* of a probability. But why should the things, degrees of belief, that play this role be probabilities? Supposing that we do choose those actions that maximize the sum of the product of our degrees of belief in each possible outcome of the action and the gain (or loss) to us of that outcome. Why must the degrees of belief that enter into this sum be probabilities? Again, there is an ingenious argument: if one acts so as to maximize his expected gain using a degree-of-belief function that is not a probability function, and if for every proposition there were a possible wager (which, if it is offered, one believes will be paid off if it is accepted and won), then there is a circumstance, a combination of wagers, that one would enter into if they were offered, and in which one would suffer a net loss whatever the outcome. That is what the Dutch-book argument shows; what it counsels is prudence.

Some of the reasons why it is not clear that betting quotients are accurate measures of degrees of belief are also reasons why the Dutch-book argument is not conclusive: there are many cases of propositions in which we may have degrees of belief, but on which, we may be sure, no acceptable wager will be offered us; again, we may have values other than the value we place on the stakes, and these other values may enter into our determination whether or not to gamble; and we may not have adopted the policy of acting so as to maximize our expected gain or our expected utility: that is, we may save ourselves from having book made against us by

refusing to make certain wagers, or combinations of wagers, even though we judge the odds to be in our favour.

The Dutch-book argument does not succeed in showing that in order to avoid absurd commitments, or even the possibility of such commitments, one must have degrees of belief that are probabilities. But it does provide a kind of justification for the personalist viewpoint, for it shows that if one's degrees of belief are probabilities, then a certain kind of absurdity is avoided. There are other ways of avoiding that kind of absurdity, but at least the personalist way is one such.<sup>3</sup>

One of the common objections to Bayesian theory is that it fails to provide any connection between what is inferred and what is the case. The Bayesian reply is that the method guarantees that, in the long run, everyone will agree on the truth. Suppose that  $B_i$  are a set of mutually exclusive, jointly exhaustive hypotheses, each with probability  $B(i)$ . Let  $\bar{x}$ , be a sequence of random variables with a finite set of values and conditional distribution given by  $P(\bar{x}_n = x_n | B_i) = \epsilon(x_n | B_i)$ ; then we can think of the values  $x_n$  as the outcomes of experiments, each hypothesis determining a likelihood for each outcome. Suppose that no two hypotheses have the same likelihood distribution; that is, for  $i \neq j$  it is not the case that for all values  $x_n$  of  $\bar{x}_n$ ,  $\epsilon(x_n | B_i) = \epsilon(x_n | B_j)$ , where the  $\epsilon$ 's are defined as above. Let  $\bar{x}$  denote the first  $n$  of these variables, where  $x$  is a value of  $\bar{x}$ . Now imagine an observation of these  $n$  random variables. In Savage's words:

Before the observation, the probability that the probability given  $x$  of whichever element of the partition actually obtains will be greater than  $\alpha$  is

$$\sum_i B(i) P(P(B_i | x) > \alpha | B_i),$$

where summation is confined to those  $i$ 's for which  $B(i) \neq 0$ . (1972: 49)

In the limit as  $n$  approaches infinity, the probability that the probability given  $x$  of whichever element of the partition actually obtains is greater than  $\alpha$  is 1. That is the theorem. What is its significance? According to Savage, 'With the observation of an abundance of relevant data, the person is almost certain to become highly convinced of the truth, and it has also been shown that he himself knows this to be the case' (p. 50). That is a little misleading. The result involves second-order probabilities, but these too, according to personalists, are degrees of belief. So what has been shown seems to be this: in the limit as  $n$  approaches infinity, an ideally rational Bayesian has degree of belief 1 that an ideally rational Bayesian (with degrees of belief as in the theorem) has degree of belief, given  $x$ , greater than  $\alpha$  in whichever element of the partition actually

<sup>3</sup> For further criticisms of the Dutch-book argument see Kyburg, 1978.



obtains. The theorem does not tell us that in the limit any rational Bayesian will assign probability 1 to the true hypothesis and probability 0 to the rest; it only tells us that rational Bayesians are certain that he will. It may reassure those who are already Bayesians, but it is hardly grounds for conversion. Even the reassurance is slim. Mary Hesse points out (1974: 117–19), entirely correctly I believe, that the assumptions of the theorem do not seem to apply even approximately in actual scientific contexts. Finally, some of the assumptions of stable estimation theorems can be dispensed with if one assumes instead that all of the initial distributions considered must agree regarding which evidence is relevant to which hypotheses. But there is no evident a priori reason why there should be such agreement.

I think relatively few Bayesians are actually persuaded of the correctness of Bayesian doctrine by Dutch-book arguments, stable estimation theorems, or other a priori arguments. Their frailty is too palpable. I think that the appeal of Bayesian doctrine derives from two other features. First, with only very weak or very natural assumptions about prior probabilities, or none at all, the Bayesian scheme generates principles that seem to accord well with common sense. Thus, with minor restrictions, one obtains the principle that hypotheses are confirmed by positive instances of them; and, again, one obtains the result that if an event that actually occurs is, on some hypothesis, very unlikely to occur, then that occurrence renders the hypothesis less likely than it would otherwise have been. These principles, and others, can claim something like the authority of common sense, and Bayesian doctrine provides a systematic explication of them. Second, the restrictions placed a priori on rational degrees of belief are so mild, and the device of probability theory at once so precise and so flexible, that Bayesian philosophers of science may reasonably hope to explain the subtleties and vagaries of scientific reasoning and inference by applying their scheme together with plausible assumptions about the distribution of degrees of belief. This seems, for instance, to be Professor Hesse's line of argument. After admitting the insufficiency of the standard arguments for Bayesianism, she sets out to show that the view can account for a host of alleged features of scientific reasoning and inference. My own view is different: particular *inferences* can almost always be brought into accord with the Bayesian scheme by assigning degrees of belief more or less *ad hoc*, but we learn nothing from this agreement. What we want is an explanation of scientific argument; what the Bayesians give us is a theory of learning—indeed, a theory of personal learning. But arguments are more or less impersonal; I make an argument to persuade anyone informed of the premisses, and in doing so I am not reporting any bit of autobiography. To ascribe to me degrees of belief that make my slide from

my premisses to my conclusion a plausible one fails to explain anything, not only because the ascription may be arbitrary, but also because, even if it is a correct assignment of my degrees of belief, it does not explain why what I am doing is *arguing*—why, that is, what I say should have the least influence on others, or why I might hope that it should. Now, Bayesians might bridge the gap between personal inference and argument in either of two ways. In the first place, one might give arguments in order to change others' beliefs because of the respect they have for his opinion. This is not very plausible; if that were the point of giving arguments, one would not bother with them, but would simply state one's opinion. Alternatively, and more hopefully, Bayesians may suggest that we give arguments exactly because there are general principles restricting belief, principles that are widely subscribed to, and in giving arguments we are attempting to show that, supposing our audience has certain beliefs, they must in view of these principles have other beliefs, those we are trying to establish. There is nothing controversial about this suggestion, and I endorse it. What is controversial is that the general principles required for argument can best be understood as conditions restricting prior probabilities in a Bayesian framework. Sometimes they can, perhaps; but I think that when arguments turn on relating evidence to theory, it is very difficult to explicate them in a plausible way within the Bayesian framework. At any rate, it is worth seeing in more detail what the difficulties may be.

There is very little Bayesian literature about the hotchpotch of claims and notions that are usually canonized as scientific method; very little seems to have been written, from a Bayesian point of view, about what makes a hypothesis *ad hoc*, about what makes one body of evidence more various than another body of evidence, and why we should prefer a variety of evidence, about why, in some circumstances, we should prefer simpler theories, and what it is that we are preferring when we do. And so on. There is little to nothing of this in Carnap, and more recent, and more personalist, statements of the Bayesian position are almost as disappointing. In a lengthy discussion of what he calls 'tempered personalism', Abner Shimony (1970) discusses only how his version of Bayesianism generalizes and qualifies hypothetico-deductive arguments. (Shimony does discuss simplicity, but only to argue that it is overvalued.) Mary Hesse devotes the later chapters of her book to an attempt to show that certain features of scientific method do result when the Bayesian scheme is supplemented with a postulate that restricts assignments of prior probabilities. Unfortunately, as we shall see, her restrictive principle is incoherent.<sup>4</sup>

One aspect of the demand for a variety of evidence arises when there is

<sup>4</sup> Moreover, I believe that much of her discussion of methodological principles has only the loosest relation to Bayesian principles.

some definite set of alternative hypotheses between which we are trying to decide. In such cases we naturally prefer the body of evidence that will be most helpful in eliminating false competitors. This aspect of variety is an easy and natural one for Bayesians to take account of, and within an account such as Shimony's it is taken care of so directly as hardly to require comment. But there is more to variety. In some situations we have some reason to suspect that if a theory is false, its falsity will show up when evidence of certain kinds is obtained and compared. For example, given the tradition of Aristotelian distinctions, there was some reason to demand both terrestrial and celestial evidence for seventeenth-century theories of motion that subjected all matter to the same dynamical laws. Once again, I see no special reason why this kind of demand for a variety of evidence cannot be fitted into the Bayesian scheme. But there is still more. A complex theory may contain a great many logically independent hypotheses, and particular bodies of evidence may provide grounds for some of those hypotheses but not for others. Surely part of the demand for a variety of evidence, and an important part, derives from a desire to see to it that the various independent parts of our theories are tested. Taking account of this aspect of the demand for a variety of evidence is just taking account of the relevance of evidence to pieces of theory. How Bayesians may do this we shall consider later.

Simplicity is another feature of scientific method for which some Bayesians have attempted to account. There is one aspect of the scientific preference for the simple that seems beyond Bayesian capacities, and that is the disdain for 'de-Occamized' hypotheses, for theories that postulate the operation of a number of properties, determinable only in combination, when a single property would do. Such theories can be generated by taking any ordinary theory and replacing some single quantity, wherever it occurs in the statement of the theory, by an algebraic combination of new quantities. If the original quantity was not one that occurs in the statement of some body of evidence for the theory, then the new, de-Occamized theory will have the same entailment relations with that body of evidence as did the original theory. If the old theory entailed the evidence, so will the new, de-Occamized one. Now, it follows from Bayesian principles that if two theories both entail  $e$ , then (provided the prior probability of each hypothesis is neither 1 nor 0), if  $e$  confirms one of them, it confirms the other. How then is the fact (for so I take it to be) that pieces of evidence just don't seem to *count* for de-Occamized theories to be explained? Not by supposing that de-Occamized theories have lower prior probabilities than un-de-Occamized theories, for being 'de-Occamized' is a feature that a theory has only with respect to a certain body of evidence, and it is not

hard to imagine artificially restricted bodies of evidence with respect to which perfectly good theories might count as de-Occamized. Having extra wheels is a feature a theory has only in relation to a body of evidence; the only Bayesian relation that appears available and relevant to scientific preference is the likelihood of the evidence on the theory, and unfortunately the likelihood is the same for a theory and for its de-Occamized counterparts whenever the theory entails the evidence.

It is common practice in fitting curves to experimental data, in the absence of an established theory relating the quantities measured, to choose the 'simplest' curve that will fit the data. Thus linear relations are preferred to polynomial relations of higher degree, and exponential functions of measured quantities are preferred to exponential functions of algebraic combinations of measured quantities, and so on. The problem is to account for this preference. Harold Jeffreys, a Bayesian of sorts, offered an explanation (1979) along the following lines. Algebraic and differential equations may be ordered by simplicity; the simpler the hypothetical relation between two or more quantities, the greater is its prior probability. If measurement error has a known probability distribution, we can then compute the likelihood of any set of measurement results given an equation relating the measured quantities. It should be clear, then, that with these priors and likelihoods, ratios of posterior probabilities may be computed from measurement results. Jeffreys constructed a Bayesian significance test for the introduction of higher-degree terms in the equation relating the measured quantities. Roughly, if one's equation fits the data *too* well, then the equation has too many terms and too many arbitrary parameters; and if the equation does not fit the data well enough, then one has not included enough terms and parameters in the equation. The whole business depends, of course, entirely on the ordering of prior probabilities. In his *Theory of Probability* Jeffreys (1967) proposed that the prior probability of a hypothesis decreases as the number of arbitrary parameters increases, but hypotheses having the same number of arbitrary parameters have the same prior probability. This leads immediately to the conclusion that the prior probability of every hypothesis is zero. Earlier, Jeffreys proposed a slightly more complex assignment of priors that did not suffer from this difficulty. The problem is not really one of finding a way to assign finite probabilities to an infinite number of incompatible hypotheses, for there are plenty of ways to do that. The trouble is that it is just very implausible that scientists typically have their prior degrees of belief distributed according to any plausible simplicity ordering, and still less plausible that they would be rational to do so. I can think of very few simple relations between experimentally determined quantities that have with-

stood continued investigation, and often simple relations are replaced by relations that are infinitely complex: consider the fate of Kepler's laws. Surely it would be naïve for anyone to suppose that a set of newly measured quantities will truly stand in a simple relation, especially in the absence of a well-confirmed theory of the matter. Jeffreys' strategy requires that we proceed in ignorance of our scientific experience, and that can hardly be a rational requirement.

Consider another Bayesian attempt, this one due to Mary Hesse. Hesse puts a 'clustering' constraint on prior probabilities: for any positive  $r$ , the conjunction of  $r + 1$  positive instances of a hypothesis is more probable than a conjunction of  $r$  positive instances with one negative instance. This postulate, she claims, will lead us to choose, *ceteris paribus*, the most economical, the simplest, hypotheses compatible with the evidence. Here is the argument:

Consider first evidence consisting of individuals  $a_1, a_2, \dots, a_n$ , all of which have properties  $P$  and  $Q$ . Now consider an individual  $a_{n+1}$  with property  $P$ . Does  $a_{n+1}$  have  $Q$  or not? If nothing else is known, the clustering postulate will direct us to predict  $Q_{a_{n+1}}$  since, *ceteris paribus*, the universe is to be postulated to be as homogeneous as possible consistently with the data. . . . But this is also the prediction that would be made by taking the most economical general law which is both confirmed by the data and of sufficient content to make a prediction about the application of  $Q$  to  $a_{n+1}$ . For  $h = 'All P \text{ are } Q'$  is certainly more economical than the 'gruified' conflicting hypothesis of equal content  $h': 'All x \text{ up to } a_n \text{ that are } P \text{ and } Q, \text{ and all other } x \text{ that are } P \text{ are } \sim Q.'$

If follows in the [case] considered that if a rule is adopted to choose the prediction resulting from the most probable hypothesis on grounds of content, or, in case of a tie in content, the most economical hypothesis on those of equal content, this rule will yield the same predictions as the clustering postulate.

Here is the argument applied to curve-fitting:

Let  $f$  be the assertion that two data points  $(x_1, y_1), (x_2, y_2)$  are obtained from experiments. . . . The two points are consistent with the hypothesis  $y = a + bx$ , and also of course with an indefinite number of other hypotheses of the form  $y = a_0 + a_1 + \dots + a_n x$ , where the values of  $a_0, \dots, a_n$  are not determined by  $(x_1, y_1), (x_2, y_2)$ . What is the most economical prediction of the  $y$ -value of a further point  $g$ , where the  $x$ -value of  $g$  is  $x_3$ ? Clearly it is the prediction which uses only the information already contained in  $f$ , that is, the calculable values of  $a, b$  rather than a prediction which assigns arbitrary values to the parameters of a higher-order hypothesis. Hence the most economical prediction is about the point  $g = (x_3, a + bx_3)$ ; which is also the prediction given by the 'simplest' hypothesis on almost all accounts of the simplicity of curves. Translated into probabilistic language, this is to say that to conform to intuitions about economy we should assign higher initial probability to the assertion that points  $(x_1, a + bx_1), (x_2, a + bx_2), (x_3, a + bx_3)$  are satisfied by the experiment than to that in which the third point is inexpressible in terms of  $a$  and  $b$  alone. In this formulation economy is a function of finite descriptive lists of points rather than general hypotheses, and the relevant initial probability is that of a universe contain-

ing these particular points rather than that of a universe in which the corresponding general law is true. . . . Description in terms of a minimum number of parameters may therefore be regarded as another aspect of homogeneity or clustering of the universe. (Hesse 1974: 230-2)

Hesse's clustering postulate applies directly to the curve-fitting case, for her clustering postulate then requires that if two paired values of  $x$  and  $y$  satisfy the predicate  $y = ax + b$ , then it is more probable than not that a third pair of values will satisfy the predicate. So the preference for the linear hypothesis in the next instance results from Hesse's clustering postulate and the probability axioms. Unfortunately, with trivial additional assumptions, everything results. For, surely, if  $y = a + bx$  is a legitimate predicate, then so is  $y = a_1 + b_1x^2$ , for any definite values of  $a_1$  and  $b_1$ . Now Hesse's first two data points can be equally well described by  $(x_1, a_1 + b_1x_1^2)$  and  $(x_2, a_1 + b_1x_2^2)$ , where

$$b_1 = \frac{y_1 - y_2}{x_1^2 - x_2^2} \quad a_1 = y_1 - x_1^2 \left( \frac{y_1 - y_2}{x_1^2 - x_2^2} \right).$$

Hence her first two data points satisfy both the predicate  $y = a + bx$  and the predicate  $y = a_1 + b_1x^2$ . So, by the clustering postulate, the probability that the third point satisfies the quadratic expression must be greater than one-half, and the probability that the third point satisfies the linear expression must also be greater than one-half, which is impossible.

Another Bayesian account of our preference for simple theories has recently been offered by Roger Rosencrantz (1976). Suppose that we have some criterion for 'goodness of fit' of a hypothesis to data—for example, confidence regions based on the  $\chi^2$  distribution for categorical data, or in curve-fitting perhaps that the average sum of squared deviations is less than some figure. Where the number of possible outcomes is finite, we can compare the number of such possible outcomes that meet the goodness-of-fit criterion with the number that do not. This ratio Rosencrantz calls the 'observed sample coverage' of the hypothesis. Where the possible outcomes are infinite, if the region of possible outcomes meeting the goodness-of-fit criterion is always bounded for all relevant hypotheses, we can compare the volumes of such regions for different hypotheses, and thus obtain a measure of comparative sample coverage.

It seems plausible enough that the smaller the observed sample coverage of a hypothesis, the more severely it is tested by observing outcomes. Rosencrantz's first proposal is this: the smaller the observed sample coverage, the simpler the hypothesis. But further, he proves the following for hypotheses about categorical data: if  $H_1$  and  $H_2$  are hypotheses with parameters, and  $H_1$  is a special case of  $H_2$  obtained by letting a free parameter

in  $H_2$  take its maximum likelihood value, then if we average the likelihood of getting evidence that fits each hypothesis well enough over all the possible parameter values, the average likelihood of  $H_1$  will be greater than the average likelihood of  $H_2$ . The conclusion Rosencrantz suggests is that the simpler the theory, the greater the average likelihood of data that fit it sufficiently well. Hence, even if a simple theory has a lower prior probability than more complex theories, because the average likelihood is higher for the simple theory, its posterior probability will increase more rapidly than that of more complex theories. When sufficient evidence has accumulated, the simple theory will be preferred. Rosencrantz proposes to identify average likelihood with support.

Rosencrantz's approach has many virtues; I shall concentrate on its vices. First, observed sample coverage does not correlate neatly with simplicity. If  $H$  is a hypothesis,  $T$  another utterly irrelevant to  $H$  and to the phenomena about which  $H$  makes predictions, then  $H \& T$  will have the same observed sample coverage as does  $H$ . Further, if  $H^*$  is a de-Occamization of  $H$ , then  $H^*$  and  $H$  will have the same observed sample coverage. Second, Rosencrantz's theorem does not establish nearly enough. It does not establish, for example, that in curve-fitting the average likelihood of a linear hypothesis is greater than the average likelihood of a quadratic or higher-degree hypothesis. We cannot explicate support in terms of average likelihood unless we are willing to allow that evidence supports a de-Occamized hypothesis as much as un-de-Occamized ones, and a hypothesis with tacked-on parts as much as one without such superfluous parts.

Finally, we come to the question of the relevance of evidence to theory. When does a piece of evidence confirm a hypothesis according to the Bayesian scheme of things? The natural answer is that it does so when the posterior probability of the hypothesis is greater than its prior probability, that is, if the conditional probability of the hypothesis on the evidence is greater than the probability of the hypothesis. That is what the condition of positive relevance requires, and that condition is the one most commonly advanced by philosophical Bayesians. The picture is a kinematic one: a Bayesian agent moves along in time having at each moment a coherent set of degrees of belief; at discrete intervals he learns new facts, and each time he learns a new fact,  $e$ , he revises his degrees of belief by conditionalizing on  $e$ . The discovery that  $e$  is the case has confirmed those hypotheses whose probability after the discovery is higher than their probability before. For several reasons, I think this account is unsatisfactory; moreover, I doubt that its difficulties are remediable without considerable changes in the theory.

The first difficulty is a familiar one. Let us suppose that we can divide the consequences of a theory into sentences consisting of reports of actual or possible observations, and simple generalizations of such observations, on the one hand; and on the other hand, sentences that are theoretical. Then the collection of 'observational' consequences of the theory will always be at least as probable as the theory itself; generally, the theory will be less probable than its observational consequences. A theory is never any better established than is the collection of its observational consequences. Why, then, should we entertain theories at all? On the probabilist view, it seems, they are a gratuitous risk. The natural answer is that theories have some special function that their collection of observational consequences cannot serve; the function most frequently suggested is explanation—theories explain; their collection of observational consequences do not. But however sage this suggestion may be, it only makes more vivid the difficulty of the Bayesian why of seeing things. For whatever explanatory power may be, we should certainly expect that goodness of explanation will go hand in hand with warrant for belief; yet, if theories explain, and their observational consequences do not, the Bayesian must deny the linkage. The difficulty has to do both with the assumption that rational degrees of belief are generated by probability measures and with the Bayesian account of evidential relevance. Making degrees of belief probability measures in the Bayesian way already guarantees that a theory can be no more credible than any collection of its consequences. The Bayesian account of confirmation makes it impossible for a piece of evidence to give us more total credence in a theory than in its observational consequences. The Bayesian way of setting things up is a natural one, but it is not inevitable, and wherever a distinction between theory and evidence is plausible, it leads to trouble.

A second difficulty has to do with how praise and blame are distributed among the hypotheses of a theory. Recall the case of Kepler's laws (discussed in Glymour 1981, ch. 2). It seems that observations of a single planet (and, of course, the sun) might provide evidence for or against Kepler's first law (all planets move on ellipses) and for or against Kepler's second law (all planets move according to the area rule), but no observations of a single planet would constitute evidence for or against Kepler's third law (for any two planets, the ratio of their periods equals the  $3/2$  power of the ratio of their distances). Earlier [in Ch. 2 of Glymour's *Theory and Evidence*] we saw that hypothetico-deductive accounts of confirmation have great difficulty explaining this elementary judgement. Can the Bayesians do any better? One thing that Bayesians can say (and some have said) is that our degrees of belief are distributed—and historically were



distributed—so that conditionalizing on evidence about one planet may change our degrees of belief in the first and second laws, but not our degree of belief in the third law.<sup>5</sup> I don't see that this is an explanation for our intuition at all; on the contrary, it seems merely to restate (with some additional claims) what it is that we want to be explained. Are there any reasons why people had their degrees of belief so distributed? If their beliefs had been different, would it have been equally rational for them to view observations of Mars as a test of the third law, but not of the first? It seems to me that we never succeed in explaining a widely shared judgement about the relevance or irrelevance of some piece of evidence merely by asserting that degrees of belief happened to be so distributed as to generate those judgements according to the Bayesian scheme. Bayesians may instead try to explain the case by appeal to some structural difference among the hypotheses; the only gadget that appears to be available is the likelihood of the evidence about a single planet on various combinations of hypotheses. If it is supposed that the observations are such that Kepler's first and second laws entail their description, but Kepler's third law does not, then it follows that the likelihood of the evidence on the first and second laws—that is, the conditional probability of the evidence given those hypotheses—is unity, but the likelihood of the evidence on the third law may be less than unity. But any attempt to found an account of the case on these facts alone is simply an attempt at a hypothetico-deductive account. The problem is reduced to one already unsolved. What is needed to provide a genuine Bayesian explanation of the case in question (as well as of many others that could be adduced) is a *general* principle restricting conditional probabilities and having the effect that the distinctions about the bearing of evidence that have been noted here do result. Presumably, any such principles will have to make use of relations of content or structure between evidence and hypothesis. The case does nothing to establish that no such principles exist; it does, I believe, make it plain that without them the Bayesian scheme does not *explain* even very elementary features of the bearing of evidence on theory.

A third difficulty has to do with Bayesian kinematics. Scientists commonly argue for their theories from evidence known long before the theories were introduced. Copernicus argued for his theory using observations made over the course of millennia, not on the basis of any startling new predictions derived from the theory, and presumably it was on the basis of such arguments that he won the adherence of his early disciples. Newton argued for universal gravitation using Kepler's second and third

<sup>5</sup> This is the account suggested by Horwich 1978.

